



---

**Nanoscale heat transfer due to near field radiation and nanofluidic flows**

**Peter Taborek**  
**UNIVERSITY OF CALIFORNIA IRVINE**

---

**07/21/2015**  
**Final Report**

DISTRIBUTION A: Distribution approved for public release.

Air Force Research Laboratory  
AF Office Of Scientific Research (AFOSR)/ RTB  
Arlington, Virginia 22203  
Air Force Materiel Command

<b>REPORT DOCUMENTATION PAGE</b>				<i>Form Approved</i> OMB No. 0704-0188	
<small>The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Executive Service Directorate (0704-0188). Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.</small>					
<b>PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ORGANIZATION.</b>					
1. REPORT DATE (DD-MM-YYYY) 12-07-2015		2. REPORT TYPE Final		3. DATES COVERED (From - To) 01-04-2012-31-03-2015	
4. TITLE AND SUBTITLE Nanoscale heat transfer due to near field radiation and nanofluidic flows				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER FA9550-12-1-0065	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S) Peter Taborek				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) University of California, Irvine 5171 California Ave Ste 150 Irvine, CA 92617-3067				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Ali Sayir, PhD , ACER Fellow Program Officer / Aerospace Materials for Extreme Environments Air Force Office of Scientific Research / RTD 875 North Randolph Street, RM 3112 Arlington, Virginia 22203				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT DISTRIBUTION A					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT We have developed techniques for making individual "nano-pipes" with diameters in the range 20-500 nm and lengths of 20 microns. The nanopipes are fabricated by etching single ion tracks in either polymer or mica sheets. We have developed and calibrated mass spectroscopic methods to measure the flow of gases and liquids through the nanopipe over a wide range of temperature. We have identified transitions from laminar to turbulent flow, and from ballistic to hydrodynamic flow in the smallest pipes ever investigated. Because of the vacuum conditions at the low pressure end of our nanopipes, liquid flows through the pipe would spontaneously form a liquid/vapor interface either inside the pie or near the exit. We developed a model which describes the details of this process; this type of complex flow in evaporative refrigerators and in evaporation from porous media. All of our measurements can be accounted for assuming a slip length of zero, i.e. we see no anomalous flow in the nano regime. To further explore the role of slip, we have investigated the flow of superfluid helium 4. In superfluid, the flow velocities can exceed 10m/sec , and in distinct contrast to classical flows, the flow rate is essentially independent of pressure. We have also constructed a custom apparat					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON
a. REPORT	b. ABSTRACT	c. THIS PAGE			19b. TELEPHONE NUMBER (Include area code)

Reset

## INSTRUCTIONS FOR COMPLETING SF 298

**1. REPORT DATE.** Full publication date, including day, month, if available. Must cite at least the year and be Year 2000 compliant, e.g. 30-06-1998; xx-06-1998; xx-xx-1998.

**2. REPORT TYPE.** State the type of report, such as final, technical, interim, memorandum, master's thesis, progress, quarterly, research, special, group study, etc.

**3. DATES COVERED.** Indicate the time during which the work was performed and the report was written, e.g., Jun 1997 - Jun 1998; 1-10 Jun 1996; May - Nov 1998; Nov 1998.

**4. TITLE.** Enter title and subtitle with volume number and part number, if applicable. On classified documents, enter the title classification in parentheses.

**5a. CONTRACT NUMBER.** Enter all contract numbers as they appear in the report, e.g. F33615-86-C-5169.

**5b. GRANT NUMBER.** Enter all grant numbers as they appear in the report, e.g. AFOSR-82-1234.

**5c. PROGRAM ELEMENT NUMBER.** Enter all program element numbers as they appear in the report, e.g. 61101A.

**5d. PROJECT NUMBER.** Enter all project numbers as they appear in the report, e.g. 1F665702D1257; ILIR.

**5e. TASK NUMBER.** Enter all task numbers as they appear in the report, e.g. 05; RF0330201; T4112.

**5f. WORK UNIT NUMBER.** Enter all work unit numbers as they appear in the report, e.g. 001; AFAPL30480105.

**6. AUTHOR(S).** Enter name(s) of person(s) responsible for writing the report, performing the research, or credited with the content of the report. The form of entry is the last name, first name, middle initial, and additional qualifiers separated by commas, e.g. Smith, Richard, J, Jr.

**7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES).** Self-explanatory.

**8. PERFORMING ORGANIZATION REPORT NUMBER.** Enter all unique alphanumeric report numbers assigned by the performing organization, e.g. BRL-1234; AFWL-TR-85-4017-Vol-21-PT-2.

**9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES).** Enter the name and address of the organization(s) financially responsible for and monitoring the work.

**10. SPONSOR/MONITOR'S ACRONYM(S).** Enter, if available, e.g. BRL, ARDEC, NADC.

**11. SPONSOR/MONITOR'S REPORT NUMBER(S).** Enter report number as assigned by the sponsoring/monitoring agency, if available, e.g. BRL-TR-829; -215.

**12. DISTRIBUTION/AVAILABILITY STATEMENT.** Use agency-mandated availability statements to indicate the public availability or distribution limitations of the report. If additional limitations/ restrictions or special markings are indicated, follow agency authorization procedures, e.g. RD/FRD, PROPIN, ITAR, etc. Include copyright information.

**13. SUPPLEMENTARY NOTES.** Enter information not included elsewhere such as: prepared in cooperation with; translation of; report supersedes; old edition number, etc.

**14. ABSTRACT.** A brief (approximately 200 words) factual summary of the most significant information.

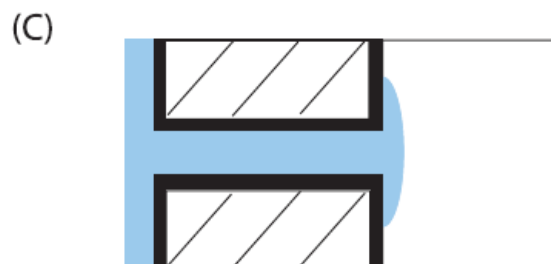
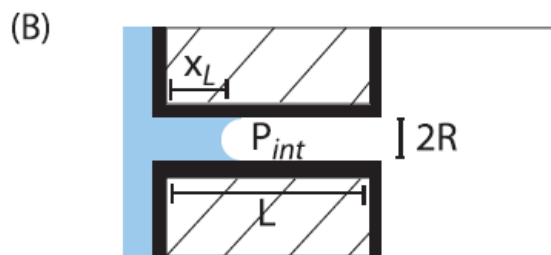
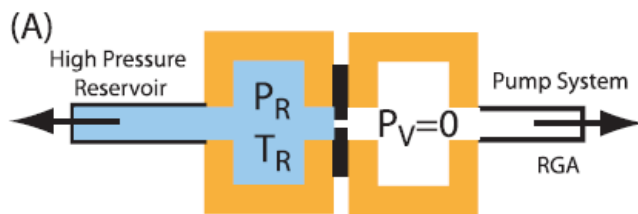
**15. SUBJECT TERMS.** Key words or phrases identifying major concepts in the report.

**16. SECURITY CLASSIFICATION.** Enter security classification in accordance with security classification regulations, e.g. U, C, S, etc. If this form contains classified information, stamp classification level on the top and bottom of this page.

**17. LIMITATION OF ABSTRACT.** This block must be completed to assign a distribution limitation to the abstract. Enter UU (Unclassified Unlimited) or SAR (Same as Report). An entry in this block is necessary if the abstract is to be limited.

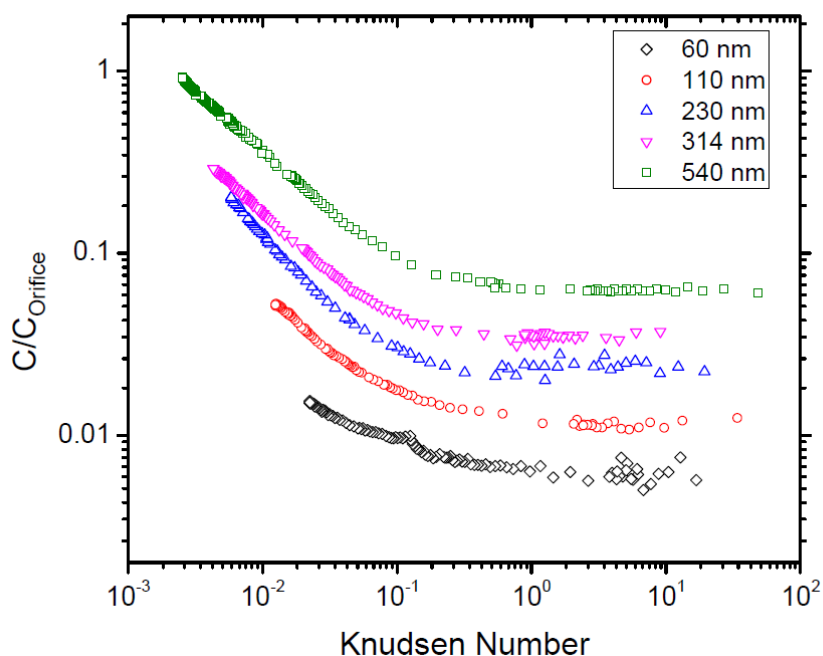
Thermal management is a crucial issue in the design of electronic systems which is becoming increasingly important as device size shrinks and power densities go up. This project addressed two aspects of heat transfer at the nanoscale. The first involves fluid flow through nanometer diameter tubes, which has been suggested as a strategy for making heat exchangers in a sublayer directly below high power density electronics. This strategy provides very high heat transfer coefficients, but requires a pumping system to compensate for the viscous losses in the small tubes. Calculation of these losses depends critically on the boundary conditions for flow at the tube wall. The conventional macroscopic hydrodynamic assumption is that the flow velocity at the wall is zero, or equivalently, the slip length is zero. Recently, there have been a number of experiments on flow through arrays of carbon nanotubes that suggest that the slip length may be in the micron range, which would imply very low viscous losses; this would obviously enhance the efficiency of heat exchangers made from nanopipes. One of the main goals of our work was to measure the slip length as a function of tube diameter.

The flow impedance of a tube depends on the radius  $R$  to a power  $R^n$ , where  $n$  can be in the range of 2-4 depending on the details of the flow regime. Because of the high powers involved, experiments on ensembles of tubes with a range of diameters can be quite misleading, so we developed methods for making single nanopipes and measuring the spectacularly small flow rates through them. The methods are described in detail in Velasco et al Phys Rev E 86 ,025302, but briefly, we obtained from our collaborators wafers of PET or mica which have had precisely one high energy gold ion passed through

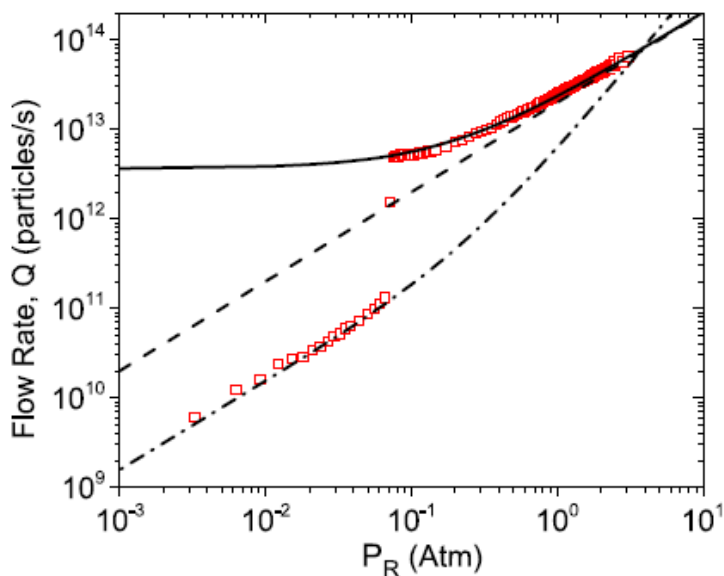


it. When the wafer is exposed to a reactive etchant, the ion damage track etches preferentially to form a nanopipe whose diameter can be controlled by etching time. The wafer is sealed into a cell that is attached to a pumping system with a mass spectrometer and a fluid handling system. The mass spectrometer serves as a mass flow monitor which is capable of detecting flows as small as  $10^6$  molecules/sec. The flows through the nanopipes are so small that diffusion through the wafer can be a comparable source of mass transfer. To suppress diffusion, most of our measurements were done at low temperatures. The basic form of the apparatus is shown in the figure on the left, which shows a high pressure reservoir at pressure  $P_R$  and a vacuum section connected to the residual gas analyzer (RGA) mass spectrometer. The two sections are connected with a membrane with

a single nanoscopic channel. We carefully calibrated our mass spectrometer using known macroscopic flow impedances and NIST traceable mass flow sources. Our first measurements focused on the transition between rarefied gas flow and hydrodynamic flow in a gas. The Knudsen number  $Kn$  is the ratio of the mean free path to the diameter of the tube. In the rarefied gas regime, the conductance  $c$  goes like  $R^3$ , while in the viscous hydrodynamic regime, the conductance goes like  $R^4$ ; the transition is clearly seen in the figure below.



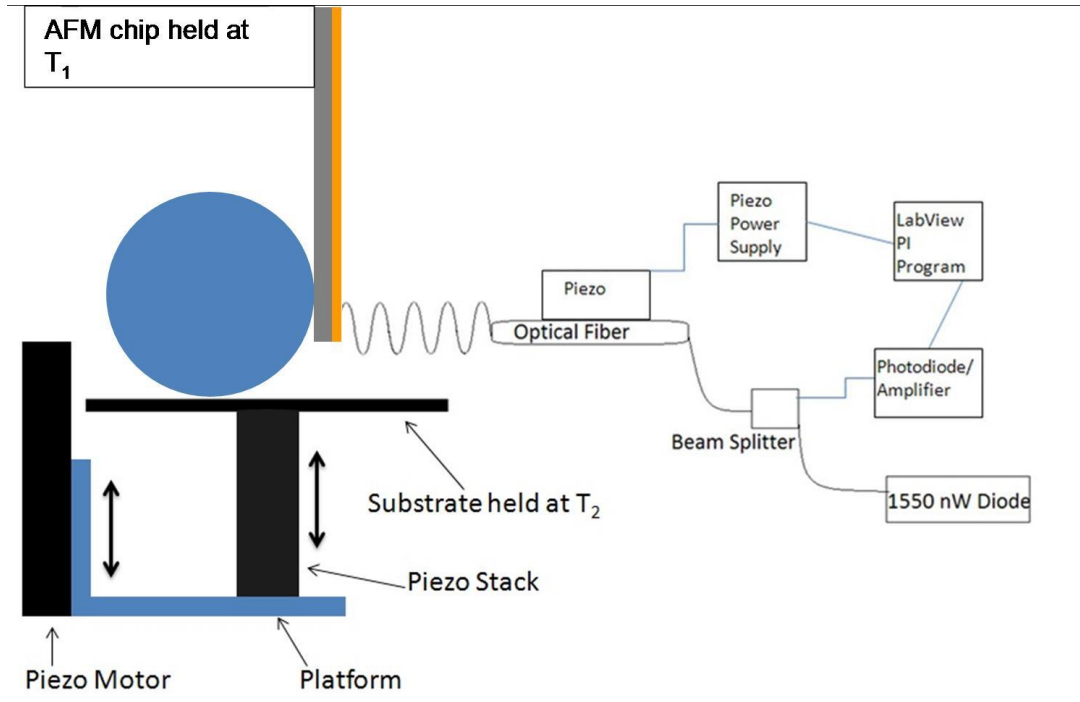
When the pressure in the upstream reservoir is increased beyond the saturated vapor pressure of the fluid, liquid forms in the pipe. As this happens, there is an abrupt increase in the mass flow through the pipe, as shown in the figure below. Mass flow through a pipe is proportional to the pressure drop across



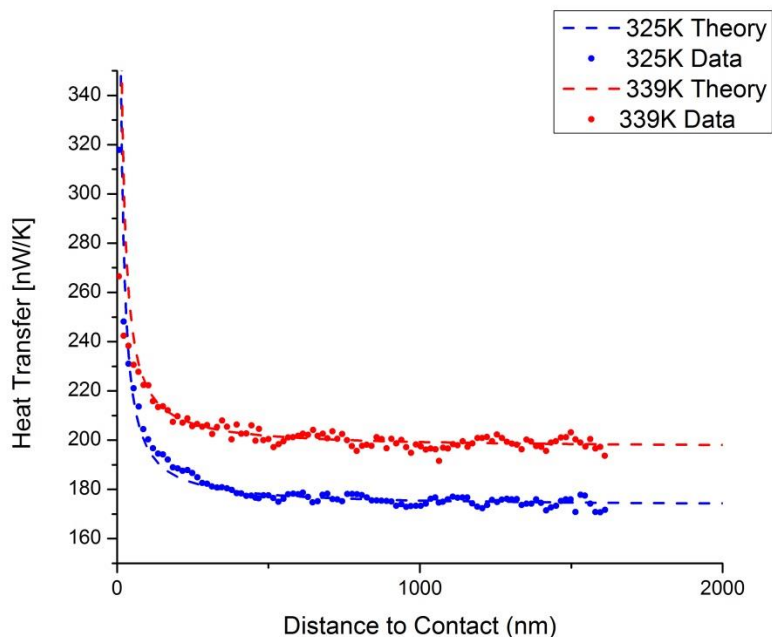
the pipe, which is confirmed by the data in the lower branch which shows a linear relation with slope of 1. In the upper branch, in which liquid is flowing through the nanopipe, the relation between flow rate and pressure drop is nonlinear. This is due to the large effects of the Laplace pressure across the liquid/vapor interface in the nanopipe, which is inversely

proportional to the pipe radius. We have developed detailed models which account for all of these effects and can be used to predict the pressure drops in two phase flows in nanopipes.

The other heat transfer issue we investigated was near field radiation. We built a custom apparatus



shown in the Figure above. The main features are a vertically mounted cantilever with a 100 micron diameter glass or sapphire sphere bonded to the end. The base temperature of the cantilever is held at temperature  $T_1$ , while the substrate temperature is held at temperature  $T_2$ . The distance between the sphere and the substrate can be controlled with piezo motors at the nanometer level. As the temperature of the sphere increases, the cantilever bends. The bending is monitored with an optical fiber interferometer. The entire apparatus is in high vacuum. The bending can be calibrated by



measuring the radiative heat transfer at distances much larger than the thermal wavelength (10 microns) where classical radiation formulas apply. As the distance between the sphere and the substrate is reduced to less than 1 micron, the radiative heat transfer rises dramatically, as shown in the figure on the left. The measured data are in good agreement with theoretical calculations shown by the dashed curves.

Both of these projects are still on-going. We have developed a technique to measure flow of water at room temperature through nanopipes and are continuing to study the issue of slip in nanoscale channels. We hope to be able to extend these studies to the limiting case of carbon nanotubes. We are trying to measure the systematics of the temperature dependence of near field radiation as a function of temperature; at low temperature, the effects should extend to larger distances because the thermal wavelengths are longer. This project supported most of the thesis work of Angel Velasco, who is currently a post doc at JPL. It also helped to support the thesis work of Robert Joachim, who will graduate in about one year. Angel, Robert and I are very grateful for the support of the AFOSR.

1.

**1. Report Type**

Final Report

**Primary Contact E-mail**

Contact email if there is a problem with the report.

ptaborek@uci.edu

**Primary Contact Phone Number**

Contact phone number if there is a problem with the report

949-824-2254

**Organization / Institution name**

Univ. Calif. Irvine

**Grant/Contract Title**

The full title of the funded effort.

Nanoscale heat transfer  
due to near field radiation and nanofluidic flows

**Grant/Contract Number**

AFOSR assigned control number. It must begin with "FA9550" or "F49620" or "FA2386".

FA9550-12-1-0065

**Principal Investigator Name**

The full name of the principal investigator on the grant or contract.

Peter Taborek

**Program Manager**

The AFOSR Program Manager currently assigned to the award

A. Sayir

**Reporting Period Start Date**

03/30/2012

**Reporting Period End Date**

03/31/2015

**Abstract**

We have developed techniques for making individual "nano-pipes" with diameters in the range 20-500 nm and lengths of 20 microns. The nanopipes are fabricated by etching single ion tracks in either polymer or mica sheets. We have developed and calibrated mass spectroscopic methods to measure the flow of gases and liquids through the nanopipe over a wide range of temperature. We have identified transitions from laminar to turbulent flow, and from ballistic to hydrodynamic flow in the smallest pipes ever investigated. Because of the vacuum conditions at the low pressure end of our nanopipes, liquid flows through the pipe would spontaneously form a liquid/vapor interface either inside the pipe or near the exit. We developed a model which describes the details of this process; this type of complex flow in evaporative refrigerators and in evaporation from porous media. All of our measurements can be accounted for assuming a slip length of zero, i.e. we see no anomalous flow in the nano regime. To further explore the role of slip, we have investigated the flow of superfluid helium 4. In superfluid, the flow velocities can exceed 10m/sec, and in distinct contrast to classical flows, the flow rate is essentially independent of pressure. We have also constructed a custom apparatus to measure radiative heat transfer between two solids separated by a vacuum gap in the nanometer range. The device utilizes a silicon nitride cantilever with a gold coating with a 100 micron diameter sphere attached to the end. Temperature gradients in the cantilever cause



deflection because of the differential thermal expansion of the materials . The deflection is measured with nanometer accuracy using an optical fiber interferometer.

### Distribution Statement

This is block 12 on the SF298 form.

Distribution A - Approved for Public Release

### Explanation for Distribution Statement

If this is not approved for public release, please provide a short explanation. E.g., contains proprietary information.

### SF298 Form

Please attach your SF298 form. A blank SF298 can be found [here](#). Please do not password protect or secure the PDF. The maximum file size for an SF298 is 50MB.

[Taborek AFD-070820-0352.pdf](#)

Upload the Report Document. File must be a PDF. Please do not password protect or secure the PDF . The maximum file size for the Report Document is 50MB.

[Final report on FA9550.pdf](#)

Upload a Report Document, if any. The maximum file size for the Report Document is 50MB.

### Archival Publications (published) during reporting period:

Pressure-driven flow through a single nanopore, A.E. Velasco, S.G. Friedman, M. Pevarnik, Z.S. Siwy, and P. Taborek, Phys. Rev. E 86, 025302 ( 2012)

Flow and evaporation in single micrometer and nanometer scale pipes, A.E. Velasco, C. Yang, Z.S. Siwy, M.E. Toimil-Molares, and P. Taborek, Appl. Phys. Lett 105, 033101 (2014)

### Changes in research objectives (if any):

#### Change in AFOSR Program Manager, if any:

There were several changes of Program Manager and then the program was canceled.

#### Extensions granted or milestones slipped, if any:

#### AFOSR LRIR Number

#### LRIR Title

#### Reporting Period

#### Laboratory Task Manager

#### Program Officer

#### Research Objectives

#### Technical Summary

#### Funding Summary by Cost Category (by FY, \$K)

	Starting FY	FY+1	FY+2
Salary			
Equipment/Facilities			
Supplies			
Total			

#### Report Document

#### Report Document - Text Analysis

#### Report Document - Text Analysis

#### Appendix Documents

2. Thank You

**E-mail user**

Jul 14, 2015 16:06:37 Success: Email Sent to: ptaborek@uci.edu